

INVESTMENT MANAGEMENT-II, SET-2

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1. Mutual fund schemes are first offered to investors through:

Ans: New Fund Offer (NFO)

2. A mutual Fund is owned by:

Ans: Group of investors

3. SWP stands for:

Ans: Systematic Withdrawal Plan

4. Mutual Funds are constituted in India as:

Ans: Trust

5. The ____ is the market value of the securities that mutual funds have purchased minus any liabilities per unit.

Ans: Net Asset Value

6. ____ are an important link between fund managers and investors.

Ans: Registrar and Transfer agents

7. Which payment mode is not applicable while purchasing mutual fund scheme?

Ans: Cash

8. ____ is the first-time subscription offer for a new scheme launched by the Ams:

Ans: New Fund Offer (NFO)

9. _____ is a facility where investor will invest a fixed amount in a mutual fund scheme at regular intervals:

Ans: Systematic Investment Plan (SIP)

10. What is the full form of STP:

Ans: Systematic Transfer Plan

11. ____ ended fund are highly liquid.

Ans: Open

12. Private Sector Mutual Funds were permitted in the year:

Ans: 1993

13. ____ invest in commodity, such as gold, silver and other precious metals:

Ans: Commodity ETF

14. ____ fund buys the stock in the cash market and sells the interest in the future market simultaneously:

Ans: Arbitrage Funds

15. Gold ETFs are popular because of:

Ans: Transparency and Real time gold prices

16. Phase 2 of Mutual Fund in India extended from:

Ans: 1987-1993

17. UTI Mutual Fund was formed in:

Ans: 14th January, 2003

18. The history of mutual funds in India can be broadly divided into ___ phases.

Ans: Five

19. AMC is required to be approved by:

Ans: SEBI

20. Mutual Fund is established by:

Ans: Sponsor of the fund

21. ___ funds are mutual funds that attempt to mirror the movements of the existing board market:

Ans: Index

22. The amount by which a close-ended funds' share price in the secondary market is above the funds' NAV is called the:

Ans: Premium

23. _____ is a tax that is imposed by the government on companies based on dividend paid to company's investors:

Ans: Dividend distribution tax

24. Sponsor must hold at least _____ % of the AMCs net-worth:

Ans: 40%

25. AMC net-worth has to be at least:

Ans: 10 crores

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