

Clinical Trial Protocol

PROTOCOL

**Dr. Shashi Kiran Misra**

# Protocol

- ❖ **A protocol is a document that states the reasoning behind and structure of a research project.**
- ❖ **Protocol also defined as a document that describes the background , rationale , objective , design, methodology, statistical consideration and organization of trial.**
- ❖ **The study protocol can be viewed as a written agreement between the investigator, the participants and the scientific community.**

# Background

- ❖ **A clinical research protocol document must reflect both sound scientific rationale as well as local, national and, when applicable, international regulatory and human subject protections requirements.**
- ❖ **These requirements originate from a variety of sources, undergo frequent revision and are subject to interpretation.**
- ❖ **Tools to assist clinical investigators in the production of clinical protocols could facilitate navigating these requirements and ultimately increase the efficiency of clinical research.**

# Protocol Development

- ❖ Every clinical investigation begins with the development of a clinical protocol. The protocol is a document that describes how a clinical trial will be conducted (the objective(s), design, methodology, statistical considerations and organization of a clinical trial,) and ensures the safety of the trial subjects and integrity of the data collected.
- ❖ A [research protocol](#) is a document that describes the background, rationale, objectives, design, methodology, statistical considerations, and organization of a clinical research project. According to the ICH Good Clinical Practice guidelines, a protocol should include the following topics:
  - ❖ Title Page (General Information)
  - ❖ Background Information
  - ❖ Objective/Purpose
  - ❖ Study Design
  - ❖ Selection and Exclusion of subject

# Continued

- ❖ Treatment of Subjects
- ❖ Assessment of Efficacy
- ❖ Assessment of Safety
- ❖ Adverse Events
- ❖ Discontinuation of the Study
- ❖ Statistics
- ❖ Quality Control and Assurance
- ❖ Ethics
- ❖ Data handling and Record keeping

# Continued

- ❖ Publication Policy
- ❖ Project Timetable/Flowchart
- ❖ References
- ❖ Supplements/Appendices
  - The [NIH](#) provides many resources for protocol development to assist investigators in writing and developing clinical research protocols that are in compliance with regulatory/GCP requirements. Some NIH institutes have a mandatory requirement for using their protocol template.

# Clinical Trial Protocol

## Key Elements of a Clinical Study Protocol



Study Design



Objectives &  
Inclusion/Exclusion  
Criteria



Procedures



Schedule of  
Procedures

# Characteristics of clinical trials





# Steps in Protocol



