

Cystic Fibrosis: Pathophysiology of Lung Disease

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Abstract

Cystic fibrosis (CF) is a common, life-threatening, multisystemic, autosomal recessive disorder. In the last few years, giant steps have been made with regard to the understanding of CF pathophysiology, allowing the scientific community to propose mechanisms that cause the myriad of CF clinical manifestations. Following the discovery of the *cystic fibrosis transmembrane conductance regulator (CFTR)* gene in 1989, the structure and function of the CFTR protein were described. Since then, more than 2,000 variants of the *CFTR* gene and their impact on the amount and function of the CFTR protein have been reported. The role of the CFTR protein as an ion channel transporting chloride and bicarbonate and its repercussions on different epithelial cell-lined organs and mucus are now better understood. Mechanisms behind susceptibility to infection in CF have also been proposed and include abnormalities in the composition, volume and acidity of the airway surface liquid, changes in the submucosal gland's anatomy and function, and deficiencies in the mucociliary clearance system. Numerous hypotheses explaining the excessive inflammatory response in CF are also debated and involve impaired mucociliary clearance, persistent hypoxia, lipid abnormalities, protease and antiprotease disproportion, and oxidant and antioxidant imbalance. The purpose of this review is to summarize our current knowledge of CF pathophysiology, including significant historic discoveries and most recent breakthroughs, and to improve understanding and awareness of this fatal disease.

Keywords

- ▶ cystic fibrosis
- ▶ pathophysiology
- ▶ CFTR
- ▶ infection
- ▶ inflammation
- ▶ proteases
- ▶ oxidants
- ▶ mucins

Cystic fibrosis (CF) is a common, life-limiting, multisystemic, autosomal recessive disorder.¹ Its incidence varies among populations and is the highest in Caucasian communities of Northern European ancestry, with approximately 1 in 3,000 live births.² Descriptions of premature deaths in children with high salt concentrations in their sweat first appeared during the 17th century, but the disease was only recognized as a distinct clinical entity by Dorothy H. Andersen in 1938.^{3,4} Ten years later, in 1948, Paul di Sant'Agnese introduced the concept of abnormal ion transport and observed disturbances in sweat composition after an important heat wave in New York caused heatstroke in many of Andersen's patients.⁴⁻⁶ Since then, our understanding of CF pathophysiology has made giant steps, beginning with the discovery of the *cystic fibrosis transmem-*

brane conductance regulator (CFTR) gene by Lap-Chee Tsui, John R. Riordan, and Francis Collins in 1989 in Toronto.⁷⁻⁹ In the last three decades, numerous discoveries have been made and have influenced the course and the management of CF. At present, physicians and researchers better understand the normal structure and function of the CFTR protein.¹⁰ It is currently well known that absent or dysfunctional CFTR protein causes damage in different organs lined with epithelial cells and mucus, including the lungs, the pancreas, the gastrointestinal tract, the liver, and the reproductive tract.¹ Moreover, different classes of mutations in CF and their impact on the CFTR protein and on CF phenotypes were discovered.¹¹ Several hypotheses have been made regarding topics such as the role of mucins, inflammation, proteases, and the balance of

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oxidants and antioxidants in CF.¹² Thanks to our better understanding of the underlying mechanisms in CF, individuals suffering from this fatal disease have seen their life expectancy improve year after year.¹³ The purpose of this review is to describe hypotheses proposed to explain the defects causing CF lung disease, to summarize our current knowledge of CF pathophysiology, to discuss notions still under debate, and to explore future avenues of research. Hopefully, further progress will help refine our mastery of CF pathophysiology and lead us toward a definitive cure.

Historical Milestones Leading to the CFTR Gene Discovery

The Mucus Abnormality Hypothesis

After initial observations of CF were made during the 1930s and 1940s, the idea that CF was a disorder caused by the accumulation of abnormally thick and sticky mucus became popular in the scientific community.^{4,14} Increased amounts of abnormally viscous mucus were observed in different organs such as the pancreas, the lungs, the gastrointestinal tract, and the reproductive tract. This thick mucus was associated with complications such as pancreatic insufficiency, gallstones, meconium ileus, infertility, sinusitis, and bronchiectasis.⁴ Although the production of thick and viscid mucus could explain the majority of the clinical manifestations observed in CF, it could not account for the abnormally high salt concentration in the sweat of CF individuals. This discrepancy encouraged investigators to pursue their quest of the understanding of CF pathophysiology.

The Sodium Hyperabsorption Hypothesis

In the early 1980s, Knowles et al explored the path of electrolyte abnormalities in the airways. In 1981, they reported that the electronegative difference across the nasal epithelium was significantly higher in CF subjects than in normal individuals. They postulated that the larger negative potential difference in the airways was due to an increased absorption of sodium from the airway fluids in the lumen, leading to dehydration that resulted in thick mucus.¹⁵ This discovery allowed the scientific community to define the first physiological link between the anomalies described in the lungs, in the pancreas, and in the sweat glands of CF patients. It meant that the basic defect was not in mucus, but in electrolyte transport in the CF cells.⁴ It was later proven that the increased negative potential difference was due to an impermeability to chloride rather than to an increased absorption of sodium.¹⁶ Nonetheless, the hypothesis advanced by Knowles et al was not completely incorrect. Indeed, it is currently well recognized that the CFTR protein influences other ion channels, including epithelial sodium channels (ENaC), on which it has an inhibitory effect, generating reduced levels of sodium absorption. In CF, ENaC activity is enhanced because dysfunctional CFTR is unable to play its inhibitory role, leading to increased sodium absorption from the lumen.^{10,17} In the end, three major findings with regard to CF epithelia were retained from their work: CF epithelial tissues are characterized by a larger transepithelial potential difference, a greater response to amiloride, and a decreased permeability to chloride at the luminal surface.¹⁸

The Sweat Glands and the Chloride Impermeability Hypothesis

In 1983, Paul M. Quinton, who was diagnosed himself with CF at the age of 19, was the first to consider that the disease could be related to an abnormal permeability to chloride in the sweat glands. He refuted the previous hypothesis, which suggested that CF was due to increased rates of sodium reabsorption.⁴ He proved his theory by dissecting sweat glands and isolating the reabsorption duct of five control subjects and three CF patients. He collected measures of transepithelial potential differences and realized that they were more negative in CF specimens. He explained this phenomenon by a difference in the permeability of anions in the tissues rather than by a defective anion exchange and came to the conclusion that chloride impermeability was the reason of poor reabsorption of sodium chloride in CF sweat ducts and high concentrations of salt in the sweat of these individuals.¹⁶ His theory is still unquestionably recognized today and explains the basic cellular defect at the origin of our understanding of CF pathophysiology.

The CFTR Gene Discovery

The discovery of the CF gene was one of the most important achievements in CF research, and in science in general. In 1989, in Toronto, after extensive research and analyses, Lap-Chee Tsui and his team identified a single CF locus on human chromosome 7 (region q 31).⁸ Because the gene product was still unknown, the identification of the gene needed to occur without a chemical marker. Researchers opted for a different approach, called positional cloning, using restriction fragment length polymorphism (RFLP) markers to localize the CF gene.^{4,8} Investigators were able to analyze 280 kb of contiguous DNA isolated by chromosome jumping and walking and clone the locus responsible for CF.⁹ The most common CF mutation, present in more than two-thirds of CF alleles, was identified during the same period, and defined as a deletion of three base pairs, which results in the loss of a phenylalanine residue at amino acid position 508 (legacy name F508del).⁸ Riordan et al eventually identified the CF gene product, a polypeptide made of 1,480 amino acids with a molecular mass of 168,138 daltons. They named it CFTR.⁷ The basic defect responsible for CF had been discovered, the structure and the properties of the CFTR protein had been described, and it was becoming more and more evident that CFTR was involved in ion transport across the apical membrane of epithelial cells. It was the beginning of a new era.

Normal Structure and Function of the CFTR Protein

Following the identification, the sequencing, and the cloning of the *CFTR* gene, the CFTR protein structure was described.⁷ The CFTR glycoprotein is a member of the adenosine triphosphate (ATP)-binding cassette (ABC) transporter superfamily and is located at the apical surface of epithelial cells, where it regulates ion transport and fluid homeostasis. It comprises two transmembrane domains (TMDs), each arranged in six subunits, which form a pore that controls the passage of

specific anions.¹⁹ Other unique features of the CFTR protein are the presence of a cytoplasmic regulatory domain (R domain) and of two nucleotide (ATP) binding folds (NFB1 and NFB2). The R domain contains phosphorylation sites, which allow, if phosphorylated by protein kinase A, the activation of the channel. Following the phosphorylation of the R domain, the nucleotide binding folds interact with the TMDs and proceed to ATP hydrolysis to modify the conformation of the channel from an open state (active) to a closed one (quiet). Consequently, the level of activity and the probability of channel opening are dependent on both the phosphorylation state of the R domain and ATP hydrolysis.¹⁰

The primary function of the CFTR protein is to regulate the transport of chloride ions (Cl^-) across apical membranes. Its open state allows chloride anions to cross the epithelial tissues at the apical surface.¹⁹ Bicarbonate (HCO_3^-) transport also occurs directly across the CFTR channel and its function influences the pH of epithelial cell surfaces and mucus.²⁰ Moreover, the CFTR protein has been shown to indirectly regulate other ion channels. It has a strong inhibitory effect on ENaCs²¹ and it influences $\text{HCO}_3^-/\text{Cl}^-$ exchangers.¹⁰ Finally, CFTR also influences other chloride channels such as calcium-activated chloride channels (CaCC) and outward rectifying chloride channels (ORCC).^{10,22}

Abnormal CFTR Protein in Cystic Fibrosis

Impact of the Abnormal CFTR Protein on Ion Transport and on Other Ion Channels

In the lungs, the “isotonic, low-volume” model is currently the favored model to explain the mechanism by which absent or abnormal CFTR protein causes thick and adherent mucus, lung infections, and inflammation.²³ This theory postulates that abnormal CFTR causes a hyperstimulation of ENaC, leading to an increased absorption of sodium and a more negative transepithelial potential difference. This phenomenon generates a relative increase of chloride ion transport toward the interstitium through other non-CFTR channels, leading to a net increase in sodium chloride (NaCl) absorption and water absorption by osmotic gradient. This process results in depletion of volume of the airway surface liquid (ASL), producing thick and viscous mucus and eventually leading to reduced mucociliary clearance, inflammation, infection, and bronchiectasis.²⁴

Abnormal CFTR also involves aberrant secretion of bicarbonate (HCO_3^-) and dysfunction of $\text{HCO}_3^-/\text{Cl}^-$ exchangers, causing abnormally low pH in the airway lumen, which could decrease the activity of antimicrobial peptides and result in further inflammation and risk of bacterial infections.^{20,25,26} Defective bicarbonate secretion in the CF airway lumen is compounded by the secretion of protons through the non-gastric adenosine triphosphatase ATP12A resulting in further acidification of ASL.²⁵ Interestingly, murine airway epithelial cells express low levels of ATP12A and are resistant to lung infection even in the absence of CFTR.

In the pancreas, abnormal CFTR causes diminished HCO_3^- secretion from the pancreatic duct cells into the lumen, leading to enzyme precipitation, mucus accumulation, and eventually pancreas destruction.²⁷ In the sweat glands,

abnormal CFTR reduces sweat production via the β -adrenergic pathway. It also diminishes the chloride permeability, leading to decreased reabsorption of chloride ions from the ductular lumen into the interstitium, creating an increased transepithelial potential difference and a high concentration of sodium chloride in the sweat.²⁸ This principle is used for diagnosis purposes with the sweat chloride test.²⁹

The defective CFTR also appears to have an impact on the development of certain tissues, leading to hypoplastic sinuses and malformations of the trachea in neonatal pigs and children.^{30,31}

Different Classes of Variants (Mutations) and Their Impact on the CFTR Protein

Presently, more than 2,000 variants of the *CFTR* gene have been reported, although not all of these variants cause CF (<http://genet.sickkids.on.ca>). Variants can be categorized as CF-causing, variants of varying clinical consequence, nondisease causing, and variants of uncertain significance (<https://cftr2.org>). Different variants impact the amount or function of the CFTR protein in different ways with variable consequences. Furthermore, there is a relationship between different *CFTR* variants and their diverse effects on the CFTR protein with sweat chloride concentration and with the different phenotypes expressed in CF individuals.³² For all these reasons, a system categorizing CF variants into different classes based on their impact on CFTR function was suggested by Lap-Chee Tsui³³ and modified by Welsh and Smith.³⁴ In the last few years, it has become evident that a single CF variant can result in multiple defects that span several classes. In addition, modifications in *CFTR* classes have been proposed in the context of the discovery of new targeted therapies addressing multiple CFTR defects. A recent updated classification was recommended by De Boeck and Amaral³⁵ and includes seven different classes:

- Class I variants affect the synthesis of the CFTR protein. This class mostly includes nonsense variants with the presence of a premature termination codon in the mRNA transcript. This results in a complete absence of the CFTR protein due to its rapid degradation by the endoplasmic reticulum (ER). However, some rare class I variants caused by a mis-splicing variant result in the production of small amounts of CFTR transcripts and are associated with milder CF phenotypes.¹¹
- Class II variants cause folding defects of the CFTR protein. The protein is produced but misfolded and retained in the ER. The protein is prematurely destroyed before it can traffic to the apical surface of the cell. A small amount of the abnormal CFTR protein sometimes reaches the epithelial interface but is malfunctioning. The c.1521_1523delCTT (legacy name F508del) variant, present in approximately 85% of CF alleles worldwide, is a class II variant. The combination of a corrector and a potentiator has been approved for CF treatment in individuals homozygous for the F508del variant.^{36,37}
- Class III variants involve impaired gating of the CFTR channel, with a reduced response of the CFTR protein to ATP resulting in a reduced open probability. The variant

G551D, for which the potentiator ivacaftor has been approved, is a class III variant.³⁸ Several other class III *CFTR* variants have been shown to respond to ivacaftor.

- Class IV variants cause a decrease in the *CFTR* channel conductance. The flow of chloride and bicarbonate ions is reduced. R117H variant is a class III and IV variant whose function is also improved by ivacaftor.
- Class V variants produce a reduced level of normal and functional *CFTR* proteins. They are often caused by alternate splicing defects, which produce both normal and aberrant mRNA transcripts. The proportion of functional *CFTR* protein can vary between CF patients and even in different organs for a same individual.
- Class VI variants generate instability of the *CFTR* protein at the apical surface of the epithelial cells. It can be secondary to increased endocytosis of the *CFTR* protein or abnormal recycling mechanisms.

De Boeck and Amaral suggested an additional class of variants in their proposed classification based on the potential of targeted therapies in the treatment of CF. They included a class VII, regrouping unrescuable variants caused by large deletions leading to a complete absence of mRNA transcription.³⁵ Marson et al proposed to keep this new class, but to rename it class IA because of the severity of its impact on the *CFTR* protein. Indeed, these variants produce an outcome similar to the one encountered with class I variants in the traditional classification.³⁹

Modifier Genes

Environmental and genetic factors other than the *CFTR* genotype influence disease manifestations in different organs. Meconium ileus is a manifestation of CF of which 88% is defined by heredity rather than environment.⁴⁰ One of the key gene modifiers for meconium ileus and lung disease other than *CFTR* is *SLC6A14*. The *SLC6A14* gene product is a Na/Cl⁻ – dependent transporter of neutral and cationic amino acids, particularly arginine, located at the apical membrane of epithelial cells.⁴¹ Disruption of *SLC6A14* expression markedly aggravates the intestinal obstruction phenotype in CF mice and is strongly associated with meconium ileus in CF newborns.⁴²

Not only do genes other than *CFTR* define disease expression, but recent studies have also revealed that genetic signals influencing the expression of a modifier gene such as *SLC6A14* are tissue-specific and can be expressed remotely from the site of disease.⁴³ Furthermore, several genes that modulate the CF lung phenotype have been identified. The estimated heritability of the lung CF phenotype is estimated to be 50% and the *CFTR* genotype correlates poorly with lung disease severity.^{44,45} Among the gene modifiers suspected of affecting CF lung disease are *SLC6A14*, mannose-binding lectin (*MBL*), glutathione-S-transferase (*GST*), transforming growth factor- β (*TGF- β*), tumor necrosis factor- α (*TNF- α*), β -2 adrenergic receptor, nitric oxide synthases (*NOS*), and human leukocyte antigen (*HLA*) class II antigens.^{46,47}

These observations highlight that to fully understand the pathophysiology of CF lung disease, research needs to focus not only on the *CFTR* genotype, but also on modifier genes

and tissue-specific genetic variants that influence the expression of the modifier genes. More work will be required to confirm specific genetic modifiers and eventually open the door for personalized therapeutic interventions.

Infection and Inflammation Cycle in CF Lung Disease

The Origins of Infection

For a long time, researchers and clinicians working in the CF field wondered if infection preceded inflammation in CF lung disease or if lung inflammation was secondary to an intrinsic defect of the *CFTR* protein.⁴⁸ Nevertheless, susceptibility to infection occurs only in the airways of CF individuals and not at other sites, suggesting that there is no systemic immune defect in CF.²³ However, inflammation response in CF lung disease is persistent, intense, and ineffective at clearing infectious pathogens.¹² More recently, it was demonstrated that immediately after birth, piglets with CF did not show evidence of inflammation in their airways, although they were more predisposed to be colonized with bacterial organisms and they failed to eradicate bacteria after a pulmonary challenge with *Staphylococcus aureus*.^{48,49} These observations suggest that impaired clearance of bacteria is the initial phenomenon, leading to inflammation and structural damage of the CF airways.

The underlying mechanisms explaining the predisposition of CF individuals to develop lung infections have been the subject of extensive study for many years and are summarized in ►Fig. 1. In 1996, Smith et al hypothesized that CF airway epithelia fail to kill bacteria because of abnormalities in the ASL.⁵⁰ They thought that a bactericidal factor was missing in CF, but after collecting ASL from normal and CF epithelia, they realized that both were equally able to kill *Pseudomonas aeruginosa*. These results led them toward the idea that abnormalities in the composition of ASL were inhibiting the activity of a bactericidal factor. They observed that CF ASL had higher chloride concentrations than normal ASL and found a correlation between increased NaCl concentrations and decreased antibacterial activity. They concluded that a bactericidal factor was part of the ASL covering the apical surface of the epithelial cells and that this substance was dependent on low salt concentration to function properly. Their data provided a link between the physiologic defect of CF and the most common clinical manifestation of CF.⁵⁰ Although this theory was appealing, it was not confirmed by the work of other investigators and it is no longer thought to explain the host antimicrobial defect characteristic of CF.

Another hypothesis linked reduced pH at the airway surface with CF airway pathology.⁵¹ This concept was recently reinforced after it was tested in CF pig models by Pezzulo and colleagues.²⁶ CF pigs represent an interesting model to isolate host defense mechanisms against bacteria because it has previously been demonstrated that they exhibit none of the characteristics of CF lung disease such as inflammation, infection, and structural remodeling immediately after birth.⁴⁹ The investigators used grids coated with *S. aureus* or *P. aeruginosa* disposed on the airway surface through a small tracheal

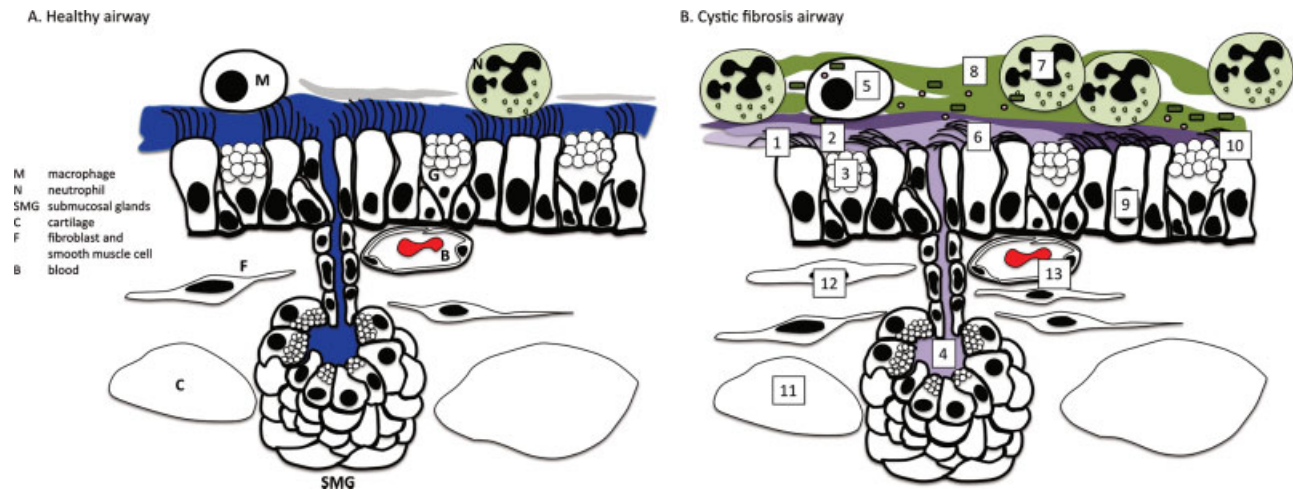


Fig. 1 Representation of (A) the healthy airway and (B) the CF airway. Illustrated are some of the multiple pathophysiological mechanisms associated with CFTR deficiency and CF lung disease. 1. Poor mucus hydration and mucociliary clearance. 2. Increased mucus viscosity. 3. Defective mucin granule expansion and deployment. 4. Submucosal gland obstruction and deficiency of lactoferrin and lysozyme in airway secretions. 5. Macrophage phagolysosome defect. 6. Abnormal arachidonic acid to docosahexaenoic acid (AA/DHA) ratio, ceramide metabolism and altered anti-inflammatory molecules such as resolvins, protectins, maresins and lipoxins. 7. Neutrophil CFTR-dependent intrinsic defects in oxidant synthesis, granule content release, and increased NET formation. 8. Hypoxic environment hostile to normal neutrophil function. 9. Abnormal Nrf2 signaling and glutathione synthesis. 10. Low glutathione and nitric oxide in CF airway surface liquid. 11. Structural changes in airway development. 12. CFTR deficiency leading to hyperresponsiveness of airway smooth muscle cells. 13. Modifier genes other than CFTR define the CF lung disease phenotype.

incision to prevent or minimize the impact of other mechanisms on bacterial killing such as mucociliary clearance or binding between bacteria and epithelia. They observed that bacteria killing was pH-dependent, with improved antimicrobial activity as pH increased. In non-CF pigs exposed to high carbon dioxide (CO₂) levels, bacterial killing was inhibited and in CF pigs exposed to sodium bicarbonate (NaHCO₃), it was enhanced.²⁶ Because one of the CFTR protein functions is to secrete bicarbonate, these results directly associate the basic CFTR defect to abnormal host defenses against bacteria.

The contribution of airway submucosal glands to impaired host defense mechanisms against bacterial infections has also been a subject of debate.⁵² Airway glands are formed by invaginations of the surface epithelium undergoing a series of branchings. A terminal duct, penetrating beneath the epithelial surface, dilates into a collecting duct in which several secretory tubules empty.⁵³ The terminal duct is lined with an epithelium similar to the surface epithelium (ciliated epithelium), the collecting duct is composed of cells of various types, and the distal ducts are lined with mucous cells and secretory cells.⁵³ CF lung disease is associated with hypertrophied submucosal glands and hyperplasia of airway surface goblet cells.⁵⁴ Engelhardt et al characterized the cellular distribution of CFTR gene and protein expression with in situ hybridization and immunocytochemistry. They observed that CFTR mRNA and protein in bronchial tissues were predominantly located in the collecting ducts and serous tubules of the submucosal glands. They hypothesized that the CFTR protein predisposition for collecting ducts could lead to abnormal mucus dilution and flushing by the secretory tubules or to abnormal components of the secretory granules.⁵⁴ Other experiments by Engelhardt and colleagues provided strong evidence for a role of submucosal glands in host defense against infection.⁵² Gland-free

ferret airway epithelial xenografts were created by denuding rabbit tracheas of their surface epithelial cells, seeding the tracheas with ferret airway epithelial cells and transplanting them into immune-deficient mice. Other mice produced gland-containing xenografts. The antibacterial activity of gland-free and gland-containing xenografts was measured, and improved bacterial killing was observed in xenografts containing glands. Higher levels of antimicrobial agents such as lysozyme and lactoferrin were also reported.⁵²

Many other theories trying to explain the susceptibility to lung infections in CF have been put forward in the scientific community. Prominent among them is the observation that the reduced volume of ASL prevents adequate ciliary function and interferes with mucociliary clearance. With progression of the disease, mucus impactions occur and adherent mucus plugs and plaques impede bacteria clearance.²³ Airways epithelial cells could also fail to kill bacteria by lacking the major isoform of NOS, NOS-2.⁵⁵ Another suggested mechanism with regard to the specific defense against *P. aeruginosa* is that CFTR protein constitutes a receptor for this pathogen, allowing the internalization of the organism, followed by the apoptosis of the epithelial cell involved.^{56,57} In the absence of CFTR protein at the epithelial surface, the receptor is also lacking and this process becomes impossible.²³

Underlying CFTR Defects Leading to Inflammation

In CF lung disease, the inflammatory response to pathogens occurs shortly after birth, exceeds that which is expected for a similar pathogen burden in other diseases, and does not resolve. CFTR-related defects seem to have an impact on this disproportionate inflammatory reaction via different mechanisms (– Fig. 1).¹² Absent or dysfunctional CFTR fails to secrete chloride ions and to regulate sodium absorption correctly,

leading to changes in osmotic pressures and dehydration of ASL and mucus layer. Dehydrated ASL impairs mucociliary clearance, favors retention of pathogens in viscous mucus, and precipitates secondary inflammatory reactions.¹⁷

Hypoxia in the mucus layer lining the CF airways could also trigger an inflammatory response. Abundant, thick, viscous mucus, and extensive mucus plugging have been described in the small airways of CF lungs.¹⁴ Studies provided evidence that the oxygen tension in mucus plaques and plugs in the CF airways infected with *P. aeruginosa* is low.⁵⁸ This low oxygen tension could impair normal host defenses against pathogens, encourage bacterial growth, and initiate cell-signaling events leading to a disproportionate inflammatory cascade.⁵⁹

Lipid abnormalities have also been described and linked to excessive inflammation in CF. The omega-3 fatty acids eicosapentaenoic acid (EPA), docosahexaenoic acid (DHA), and docosapentaenoic acid (n-3DPA) are present in oily fish and must be absorbed from the gut.⁶⁰ EPA, DHA, and n-3DPA are precursors of the potent anti-inflammatory molecules lipoxins, resolvins, protectins, and maresins that are collectively known as “specialized proresolving mediators” or SPM.⁶¹ In contrast, omega-6-derived mediators such as arachidonic acid (AA) are proinflammatory. The usual Western diet has a high omega-6 to omega-3 ratio of free fatty acids. Malabsorption of fatty acids in individuals with CF exposed to a Western diet can lead to omega-3 deficiency.⁶² In addition to fatty acid malabsorption, individuals with CF have *CFTR* genotype-dependent increase in the AA to DHA ratio of nasal and rectal tissues, resulting in a proinflammatory profile.⁶³ Evidence that the increased AA/DHA ratio is linked to the *CFTR* genotype stems from the observations that the AA/DHA ratio is increased regardless of pancreatic sufficiency status and is increased in obligate heterozygotes bearing one F508del *CFTR* mutation.^{63,64} A similar increase in the AA/DHA ratio has been reported in CF knockout mice and linked to an increased conversion of linoleic acid to n-6 metabolites in *CFTR*-deficient cells. However, others have reported that a membrane fatty acid imbalance is not inherent to the *CFTR* genotype.⁶⁵

Some investigators have reported that a deficiency of the sphingomyelin metabolite ceramide is associated with CF. The deficiency in ceramide was associated with low DHA and high AA in CF plasma, and could be corrected with fenretinide.⁶⁶ These observations have led to ongoing clinical trials of fenretinide as an anti-inflammatory strategy in CF. Other investigators have reported that the bronchial epithelial cell levels of ceramide are increased, and it has been hypothesized that these *CFTR*-related abnormalities involve cell death, release of DNA and chemokines, binding of bacteria to extracellular DNA, and impaired bacterial clearance.⁶⁷ The sphingomyelinase inhibitor amitriptyline restored normal bronchial epithelial cell membrane levels in CF knockout mice. A small randomized, double-blind placebo-controlled trial of amitriptyline in CF patients resulted in statistically significant increases in lung function (forced expiratory volume in 1 second, FEV₁) and weight.⁶⁸ Further clinical trials are needed to determine the role of modifiers of ceramide metabolism in CF inflammation and lung disease.

Protease/Antiprotease Balance

The inflammatory process in CF lung disease appears to be driven by the continuous recruitment and migration of immune cells, mostly neutrophils or polymorphonuclear cells.⁶⁹ Bronchiectasis resulting from inflammation and infection can be observed in CF children as early as 3 months of age.^{70–73} Armstrong et al confirmed the presence of an abnormal inflammatory response dominated by the persistent presence of an increased number of neutrophils in the airways of CF infants and children.⁷⁴ Analysis of bronchoalveolar lavage (BAL) fluid from 70 CF infants (pristine, infected, and uninfected) and 19 disease controls (suffering from chronic stridor, but without CF) confirmed that subjects from the infected CF group had higher neutrophil percentages, free neutrophil elastase activity, and increased cytokine concentrations. The pristine, uninfected, and control groups had similar levels of inflammatory markers.⁷⁴

Although neutrophils are recruited to attack pathogens, their activation can lead to lung tissue destruction through the release of proteases, particularly human leukocyte elastase (HLE). The presence of HLE in the BAL of infants at 3 months of age is associated with a threefold increase in the risk of bronchiectasis.⁷⁰ Neutrophils present in CF airways as assessed by BAL have a phenotype typical of an increased exocytosis rate that correlates with lung damage.⁷⁵ Recent data indicate that structural lung damage in CF as determined by computed tomography scan more closely correlates with the frequency of detection of BAL HLE than it does with the detection of infection.⁷⁶ These results suggest that free HLE in the CF airway is driving much of the CF lung disease (► **Table 1**).

Neutrophils are the principal source of serine proteases, which are stored in the azurophilic (primary) granules in the neutrophil cytoplasm.¹² HLE is the most abundant enzyme in the serine proteases family.⁷⁷ These proteases are enzymes playing both destructive and beneficial roles by acting on different substrates, influencing tissue remodeling, chemotaxis, and killing of bacterial and fungal pathogens.^{12,78–80} Proteolytic activity is counterbalanced by protease inhibitors, including α -1 antitrypsin, serine leukocyte protease inhibitor (SLPI), elafin, anti-chymotrypsin, and others, to avoid excessive degradation and destruction of surrounding structures.¹² Alpha-1 antitrypsin, an acute phase reactant protein, is markedly increased in the serum of individuals with CF.⁸¹ However, despite an increase in systemic α -1 antitrypsin, HLE is so abundant in the CF airway that naturally occurring antiproteases are overwhelmed.^{82,83} The increased amounts of airway HLE spill over into plasma and form plasma α -1 antitrypsin–HLE complexes that correlate with lung function severity and pulmonary exacerbations.⁸⁴

Active elastase in CF can have several consequences that are highly relevant to CF lung pathophysiology and are summarized in ► **Table 1**. Alpha-1 antitrypsin, the most abundant inhibitor of HLE, is cleaved and inactivated in the airway secretions obtained from most CF individuals.⁸² Active HLE can also induce *MUC5AC* expression through mechanisms involving oxidants and activation of the TNF- α converting enzyme (TACE)—epidermal growth factor receptor (EGFR)

Table 1 Consequences of human leukocyte elastase activity in cystic fibrosis lungs

Targets	Consequences
Cleavage of α -1 antitrypsin, SLPI, and other antiproteases	Unabated proteolysis
Increased transcription of <i>MUC5AC</i>	Altered mucociliary clearance
Goblet cell degranulation	Mucus accumulation
Proteolysis of airway-wall tissue	Loss of tissue elasticity and generation of bronchiectasis
Complement, complement receptor, immunoglobulin, and immunoglobulin receptor cleavage	Opsonophagocytosis defect and bacterial infection
Phosphatidylserine receptor cleavage	Persistent inflammation
Increased IL-8 release	Neutrophil influx

Abbreviation: SLPI, serine leukocyte protease inhibitor.

pathway.^{85–88} Opsonin-related mechanisms normally allow recognition, phagocytosis, and killing of pathogenic bacteria. However, in the CF airway, HLE cleaves immunoglobulins, complements, and their receptors leading to severe opsonin-receptor mismatches and deficient bacterial phagocytosis.^{89–91} HLE can also cleave the phosphatidylserine receptor present on macrophages, rendering the macrophage unable to ingest and clear apoptotic neutrophils.⁹² The delayed clearance of dead neutrophils and their products is associated with severe and sustained inflammation.

It is generally thought that inhibition of proteases, particularly HLE in the CF airway, should reverse many of these mechanisms, help resolve inflammation, and restore host defenses against CF pathogens.⁹³ However, due to the massive amount of free HLE and other proteases that are not readily accessible to antielastase drugs delivered topically or systemically, it has not yet been possible to observe consistent clinical benefits of HLE inactivation despite numerous studies.⁹⁴

Oxidant/Antioxidant Balance

Neutrophils need to undergo a robust oxidative burst activity to clear pathogens.⁹⁵ The oxidative burst is dependent upon the assembly of the protein complex nicotinamide adenine dinucleotide phosphate (NADPH) oxidase.⁹⁶ It is not clear that the NADPH oxidase activity of neutrophils in CF airway secretions is normal. Houston et al have reported a reduced oxidative burst.⁹⁷ Others have observed CFTR-dependent defects in blood-derived neutrophil oxidative metabolism.^{98,99} Predisposition to *Burkholderia cepacia* complex suggests impairment in oxidative burst function.^{100,101} Others have reported that inhibition of CFTR function further delays the association of the NADPH complex with vacuoles in macrophages infected with *Burkholderia cenocepacia*.¹⁰² These observations raise the possibility that in addition to the multiple mucosal host defense abnormalities discussed above, intrinsic defects may exist in bone marrow-derived inflammatory cells deficient in CFTR.^{103,104}

Although it has long been believed that the oxidants produced by neutrophils were directly responsible of bacterial killing, it has become clear that interactions between oxidants, cationic peptides, and proteases are essential to allow proper microbial killing.¹⁰⁵ Reeves et al demonstrated that an influx of superoxide in the phagocytic vacuole was

caused by the activation of neutrophil NADPH oxidase that led to an influx of potassium ions across the vacuolar membrane and the subsequent release of cationic peptides and proteins from neutrophil granules.¹⁰⁵ Bacteria present in CF airway secretions are encased in anionic polymers such as bacterial alginate, airway mucins, and DNA that may provide protection against cationic peptides.¹⁰⁶ The efficacy of the neutrophil phagolysosome in killing pathogens present in the CF airway remains unknown.

Oxidative stress and sustained imbalance between oxidants and antioxidants could play a role in the pathophysiology of CF lung disease and contribute to tissue damage.¹⁰⁷ Oxidants are essential for adequate host defense, and reactive oxygen species (ROS) produced by neutrophils and epithelial cells have crucial functions such as killing bacteria, stimulating tissue repair, modulating efferocytosis of apoptotic neutrophils, and protein folding.¹⁰⁸ Nonetheless, excessive amounts of oxidants may have an impact on apoptosis, synthesis and secretion of mucins, and ion transport, and lead to disproportionate inflammation and lung damage.¹⁰⁸ How malfunctioning CFTR predisposes CF individuals to redundant inflammation remains unclear, but hypotheses involving glutathione (GSH) and thiocyanate transport have been postulated.^{107–109} Glutathione is an anionic tripeptide transported by the CFTR channel which acts as an antioxidant in the epithelial lining fluid (ELF) by scavenging ROS.¹¹⁰ Measures of glutathione and glutathione sulfonamide, a specific oxidation product of hypochlorous acid, in BALs of CF children and controls confirmed that glutathione concentrations were lower and that levels of glutathione sulfonamide were increased in patients with CF, corroborating an imbalance between oxidants and antioxidants in these individuals.¹¹⁰ Although initial data were conflicting, an additional recent study reported decreased levels of glutathione and increased concentrations of oxidative markers in the exhaled breath of CF patients, suggesting an abnormal oxidative stress in the CF airways leading to lung damage.¹⁰⁷ While an antioxidant deficiency may explain in part the oxidant/antioxidant imbalance, the potential sources of oxidants in the CF lungs are numerous and include inflammatory cells, abnormal mitochondrial respiration, bacteria, and bacterial products such as pyocyanine.

Mucins and Mucociliary Clearance

Lungs are constantly exposed to particles in inhaled air and their resistance to environmental injury depends highly on mucus in the airways, which helps trap toxins, pathogens, and particles that are subsequently transported out of the respiratory tract by mechanisms involving ciliary beating and cough.¹¹¹ Mucus is an extracellular gel mostly composed of water and mucins that has the properties of both a deformable solid and a viscous fluid.¹¹¹ Mucins are large glycoproteins rich in serine and threonine residues covalently linked to sugar chains that are highly anionic due to carboxyl or sulfate groups on their terminal extremities. Several genes encode for mucins in the human genome, but only five of them have terminal domains rich in cysteine allowing the formation of disulfide bonds and leading to the creation of polymers in the airways, including MUC5AC and MUC5B.¹¹¹ Growing evidence shows that airway mucus is constituted of two different layers: a mobile layer and a periciliary layer.¹¹² This configuration and the depth of the periciliary layer appear to play a crucial role in the regulation of transport via mucociliary clearance in the airways.^{111,112} The exact roles of MUC5AC and MUC5B and the effects of their inhibition remain unclear and were explored by Roy et al.¹¹³ After creating different mice models (*Muc5ac*^{-/-}, *Muc5b*^{-/-}, and *Mucb*^{Tg}), mucociliary clearance and responses to bacterial infections were studied. It was discovered that MUC5B is required for mucociliary clearance and helps control infections and maintain immune homeostasis. Moreover, MUC5B deficiency causes materials to accumulate in the airways, enhances inflammation, impairs phagocytosis by macrophages, and increases bacterial burden, especially with *S. aureus* organisms. In contrast, MUC5AC deficiency does not appear to result in significant impact on mucociliary clearance.¹¹³ More recently, other studies examined the contribution of mucus concentration and mucin secretion in the development of obstructive lung disease.¹¹² Again, MUC5B deficiency was associated with reduced mucociliary clearance, enhanced inflammation, and increased incidence of infection. Nonetheless, mucus hypersecretion and adhesion seem to predominate compared with loss of mucus flow in the development of bronchitic lung diseases.¹¹²

In CF, abnormal regulation of chloride secretion and sodium absorption by dysfunctional CFTR leads to dehydration of the ASL and prevents mucins from playing their role as a water reservoir.¹² The reduction or absence of bicarbonate secretion could also impair decompaction of mucins. Mucins are secreted from granules as highly compact polymers surrounded by cations, mainly calcium and hydrogen, and require bicarbonate for release and disaggregation.¹² Once secreted in the airway lumen, CFTR is required to allow the detachment of mucins and mucociliary clearance.¹¹⁴

Henderson et al demonstrated that mucin concentrations in sputum samples fluctuate depending on the different techniques used to measure levels.¹¹⁵ Using immunologic measurements, MUC5B concentrations were decreased in sputum samples obtained from CF individuals compared with levels in normal sputum. In comparison, analysis with chromatography and refractometry techniques showed increased levels of MUC5B and higher partial osmotic pressures in sputum sam-

ples from CF patients. This discrepancy seems to be secondary to the fact that mucins in CF sustain proteolysis and are cleaved at antibody recognition sites, which prevents mucin recognition with immunologic techniques. Increased levels of mucins in CF airways are hypothesized to provoke increased osmotic effects and trigger abnormal viscoelastic properties, leading to mucus stasis, infection, and inflammation.¹¹⁵

Animal Models in Cystic Fibrosis

Over the years, animal models have greatly contributed to our understanding of numerous mechanisms involved in the pathophysiology of CF and they helped in the development of new therapies. Animal models of different species are essential and widely used in CF research. Unfortunately, no single animal model reproduces exactly the complexity of CF in humans, but different animal models have been useful to study diverse aspects of the disease.

The first genetically modified mice models of CF disease appeared 3 years after the *CFTR* gene discovery.¹¹⁶ Although the cloned CFTR protein revealed a 78% amino acid sequence homology to the human CFTR protein, it was later demonstrated that spontaneous colonization with common pathogens encountered in CF lungs was not detected in mice models. Nevertheless, several discoveries were made thanks to these animal models, including observations on mucociliary transport, hyperinflation, and recruitment of inflammatory cells.¹¹⁷ Murine models have also been used to study the abnormalities in the submucosal glands and ion transport disturbances including hyperabsorption of sodium and chloride permeability in nasal and tracheal epithelium.¹¹⁷ Additionally, CF mice develop severe intestinal manifestations, but milder pancreatic disease than in CF humans. These discrepancies could be attributed to different densities of submucosal glands in mice airways, alternate activation of chloride channels in the murine lungs, and as discussed above, low expression of the ATP12A proton pump.¹¹⁷

Porcine models are also used in CF research due to their similarities with human lungs and their identical ion properties.¹¹⁷ At birth, the lungs of CF piglets show no sign of pathology, but they rapidly develop infection.⁴⁹ The CF piglets also revealed congenital tracheal abnormalities, including narrowed proximal airways with reduced caliber and circularity, prominent smooth muscle, atypical cartilage, and smaller and hypoplastic submucosal glands. When compared with imaging of CF children 2 years of age or younger, similar tracheal findings were observed, suggesting that abnormal CFTR function could induce anatomical changes as early as in fetal life.³⁰ As in humans, airways of piglets are characterized by an intense recruitment of inflammatory cells, increased inflammatory markers, and acidification of ASL. Submucosal glands show the same defects in chloride and bicarbonate transport, but no evidence of sodium hyperabsorption. Pancreatic disease is similar to that in humans with different degrees of disease severity depending on the genotype.¹¹⁷ Nevertheless, pig models have not been extensively used in CF research due to their short longevity caused by severe meconium ileus, which is always fatal for these animals.¹¹⁷

Lungs of ferrets share similar characteristics with human lungs and CF ferrets develop lung infections early in their life. Recent experimentations showed that these animals are models of interest for the study of innate immunity and inflammatory responses in CF. Meconium ileus is frequent in ferrets, but less lethal than in pigs. Pancreatic disease is also similar to that in humans. However, even if ferrets seem to be promising models, they are recently developed and further studies will be required to discover their full potential.¹¹⁷

Other less common animal models were recently generated. The CF rat appears to be a good model to study the long-term complications of CF such as lung disease, growth failure, and bone disease. A zebrafish model was also created to study pancreatic disease pathogenesis and could be useful for studies of mucosal immunology, infection, and personalized medicine.^{117–120}

Future Directions

Despite the many important discoveries that have helped to improve our understanding of CF pathophysiology over the last 30 years, much work remains to be done. Luckily, research in CF is blooming in various fields and countless studies are ongoing. Our better comprehension of the *CFTR* gene, its mutations and their impacts on the CFTR protein has led to the development of new promising treatments. At a time when health care is evolving toward a personalized medicine, a real enthusiasm for the development of gene-specific and other targeted therapies is perceptible among the scientific community. Many trials looking at drugs with the potential to restore the CFTR function or impact other ion channels are ongoing. The development of modulators has exploded, and studies of triple combination therapy are promising.^{121,122} One can foresee that in the not too distant future, we will be able to choose the right modulator for a person with CF based on the individual's genotype. Several other drugs aimed at improving mucociliary clearance or reducing inflammatory response are also being studied.^{123–125} All of these developments have been made possible through major advances in physiology, new technologies such as high-throughput screening, better surrogate readouts for drug discovery, improved animal models, and high-quality clinical trials. Collaborations between investigators in the basic sciences and clinicians have been exemplary in CF research. These are exciting times for CF researchers who are leading the way to provide the much-needed explanations behind the clinical manifestations of CF and to identify new therapies.

Conclusion

From the *CFTR* gene discovery to the development of targeted therapies, work of physicians and researchers around the world has allowed the CF community to gain a better understanding of this fatal disease. The CFTR protein structure, its function in different organs, and its abnormalities in CF are now well known. Mechanisms leading to lung infection and excessive inflammatory reactions, although still not complete-

ly understood, are becoming clearer and less debated. Hopefully, the years to come will be as rich in discoveries as the last 3 decades have been and will lead to the development of novel and safe therapies that will change the management of CF and the life of the persons suffering from this disease.

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Conflicts of Interest

Dr. Cantin reports personal fees from Vertex Pharmaceuticals, outside the submitted work.

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