

# Optimum Design and Design Strategy

Book : *Plant Design and Economics for Chemical Engineers*, M.S. Peters and K. D. Timmerh

Chapter 11 (4<sup>th</sup> Edition)

# Optimum conditions in cyclic operations

Many processes are carried out by the use of cyclic operations which involve periodic shutdowns for discharging, cleanout or reactivation, eg., batch reactor

In a semi-continuous cyclic operation, the product is delivered continuously while the unit is in operation but the rate of delivery decreases with time

Thus, in a batch or cyclic operation, the variable of total time required per cycle must be considered when determining optimum conditions

asis: Time for one cycle

$$I) \text{ Total annual cost} = \frac{\text{operating and shutdown costs}}{\text{cycle}} \times \frac{\text{cycles}}{\text{year}} + \text{annual fixed costs}$$

$$II) \text{ Annual production} = \left( \frac{\text{production}}{\text{cycle}} \right) \left( \frac{\text{cycles}}{\text{year}} \right)$$

$$III) \frac{\text{Cycles}}{\text{year}} = \frac{\text{operating+shutdown time used/year}}{\text{operating+shutdown time/cycle}}$$

## Example: Determination of conditions for minimum total cost in a batch operation

An organic chemical is being produced by a batch operation in which no product is obtained until the batch is finished. Each cycle consists of the operating time necessary to complete the reaction plus a total time of 1.4 h for discharging and charging. The operating time per cycle is equal to  $1.5P_b^{0.25}$  h, where  $P_b$  is the kilograms of product produced per batch.

The operating costs during the operating period are \$20 per hour, and the costs during the discharge-charge period are \$15 per hour. The annual fixed costs for the equipment vary with the size of the batch as follows:

$$C_F = 340P_b^{0.8} \text{ dollars per batch}$$

Inventory and storage charges may be neglected. If necessary, the plant can be operated 24 h per day for 300 days per year. The annual production is 1 million kg of product. At this capacity, raw-material and miscellaneous costs, other than those already mentioned, amount to \$260,000 per year. Determine the cycle time for conditions of minimum total cost per year.

$$\frac{\text{Cycles}}{\text{year}} = \frac{\text{Annual production}}{\text{Production/cycle}} = \frac{1,000,000}{P_b}$$

$$\text{Cycle time} = \text{Operating time} + \text{Shutdown time} = 1.5P_b^{0.25} + 1.4$$

$$\frac{\text{Operating} + \text{Shutdown costs}}{\text{cycle}} = \$ [(1.5P_b^{0.25})20 + (1.4)15]$$

$$\text{Annual fixed costs} = \$ (340P_b^{0.8} + 260,000)$$

$$\begin{aligned} \text{Total annual costs} = C_T &= [(1.5P_b^{0.25})20 + (1.4)15] \left( \frac{1,000,000}{P_b} \right) + (340P_b^{0.8} + 260,000) \\ &= [(30P_b^{0.25}) + 21] \left( \frac{1,000,000}{P_b} \right) + (340P_b^{0.8} + 260,000) \end{aligned}$$

At minimum  $C_T$ ,  $\frac{dC_T}{dP_b} = 0$

Now,  $C_T = 30 \times 10^6 P_b^{-0.75} + \frac{21 \times 10^6}{P_b} + 340P_b^{0.8} + 260,000$

$$\frac{dC_T}{dP_b} = -0.75 \times 30 \times 10^6 P_b^{-1.75} - \frac{21 \times 10^6}{P_b^2} + 340 \times 0.8 P_b^{-0.2} = 0$$

$$272 P_b^{-0.2} = 22.5 \times 10^6 P_b^{-1.75} - \frac{21 \times 10^6}{P_b^2}$$

$$272 P_b^{1.8} = 22.5 \times 10^6 P_b^{0.25} - 21 \times 10^6$$

Solving by trial and error,

$P_b = 1000$	LHS = $683.23 \times 10^5$	RHS = $1475.267 \times 10^5$
$P_b = 1630$	LHS = $1646.3 \times 10^5$	RHS = $1639.65 \times 10^5$
$P_b = 1627$	LHS = $1640.84 \times 10^5$	RHS = $1638.99 \times 10^5$

Since LHS is approximately equal to RHS,

Optimum  $P_b = 1627$  kg per batch

or conditions of minimum annual cost

$$\text{cycle time} = 1.5P_b^{0.25} + 1.4 = 1.5(1627)^{0.25} + 1.4 = 10.927 \sim 11 \text{ h}$$

$$\text{total time used per year} = \left(\frac{\text{cycles}}{\text{year}}\right) (\text{cycle time}) = \frac{1,000,000}{1627} \times 11 = 6760.9 \text{ h}$$

$$\text{total available time per year} = 300 \times 24 = 7200 \text{ h}$$

Not all the available operating and shutdown time would be used

## Scale formation in evaporators (Optimum operating time)

During evaporation operations, solids often deposit on the heat transfer surfaces forming a scale. The continuous scale formation causes a gradual increase in the resistance to heat flow and consequently a decrease in the rate of heat transfer and rate of evaporation. Under these conditions, the evaporator must be shut down and cleaned after an optimum operating time, and then the cycle is repeated.

When scale formation occurs, if  $\theta_b$  is the operating time, the overall heat transfer coefficient,  $U$  may be related to the time of operation by the following expression,

$$\frac{1}{U^2} = a\theta_b + d \quad a, d = \text{constants for any operation}$$

$$U = \frac{1}{\sqrt{a\theta_b + d}}$$

In a given evaporator, area and driving force are not a function of time but  $U$  is.

$Q$  = total heat transferred during the operating time  $\theta_b$

$A$  = heat transfer area

$\Delta T$  = temperature driving force

The rate of heat transfer at any instant is

$$\frac{dQ}{d\theta_b} = UA\Delta T = \frac{A\Delta T}{\sqrt{a\theta_b + d}}$$

$$\int_0^Q dQ = A\Delta T \int_0^{\theta_b} \frac{d\theta_b}{\sqrt{a\theta_b + d}}$$

$$Q = \frac{A\Delta T}{a} \left[ \frac{(a\theta_b + d)^{1/2}}{1/2} \right]_0^{\theta_b}$$

$$Q = \frac{2A\Delta T}{a} [\sqrt{a\theta_b + d} - \sqrt{d}]$$

Heat transfer rate per cycle

## Cycle time for maximum amount of heat transfer

Each cycle of evaporator operation consists of an operating (or boiling) time of  $\theta_b$  h and if the time per cycle for emptying, cleaning and recharging is  $\theta_c$ , then

$$\text{Total time per cycle} = \theta_b + \theta_c$$

$$\text{Total number of operating hours annually} = H$$

$$\text{Therefore, Number of cycles/year} = \frac{H}{\theta_b + \theta_c}$$

$$\text{Total annual heat transfer rate per year} = Q_H = \frac{H}{\theta_b + \theta_c} \times Q = \frac{H}{\theta_b + \theta_c} \times \frac{2A\Delta T}{a} [\sqrt{a\theta_b + d} - \sqrt{d}]$$

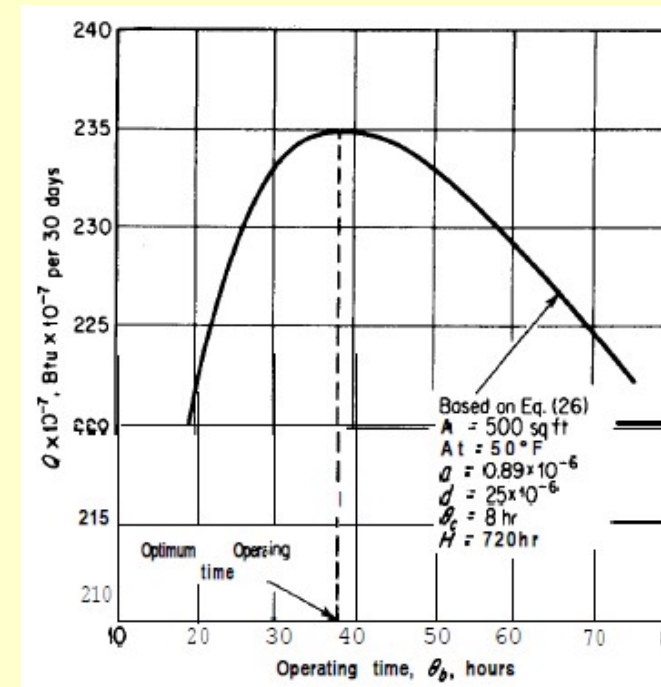
Plot of total heat transferred ( $Q_H$ ) versus  $\theta_b$  (the only variable) shows a maximum at an optimum value of  $\theta_b$

The optimum operating time ( $\theta_b$ ) can be determined analytically from

$$\frac{dQ_H}{d\theta_b} = 0$$

$$\frac{d \left[ \frac{2A\Delta TH}{(\theta_b + \theta_c)a} [\sqrt{a\theta_b + d} - \sqrt{d}] \right]}{d\theta_b} = 0$$

$$\frac{2A\Delta TH}{a} \left[ \frac{(\theta_b + \theta_c) \left\{ \frac{a}{2} (a\theta_b + d)^{-1/2} \right\} - \left\{ \sqrt{a\theta_b + d} - \sqrt{d} \right\}}{(\theta_b + \theta_c)^2} \right] = 0$$



$$\frac{a(\theta_b + \theta_c)}{2\sqrt{a\theta_b + d}} = \sqrt{a\theta_b + d} - \sqrt{d}$$

$$a(\theta_b + \theta_c) = 2(a\theta_b + d) - 2\sqrt{ad\theta_b + d^2}$$

$$2\sqrt{d(a\theta_b + d)} = 2(a\theta_b + d) - a(\theta_b + \theta_c) = (a\theta_b + 2d - a\theta_c)$$

$$4[d(a\theta_b + d)] = (a\theta_b + 2d - a\theta_c)^2$$

$$4da\theta_b + 4d^2 = a^2\theta_b^2 + 4d^2 + a^2\theta_c^2 + 4da\theta_b - 4da\theta_c - 2a^2\theta_b\theta_c$$

$$a^2\theta_b^2 + a^2\theta_c^2 = 4da\theta_c + 2a^2\theta_b\theta_c$$

$$(a\theta_b - a\theta_c)^2 = 4da\theta_c$$

$$a(\theta_b - \theta_c) = 2\sqrt{ad\theta_c}$$

$$\theta_b = \frac{2}{a}\sqrt{ad\theta_c} + \theta_c$$

$$\theta_b = 2\sqrt{\frac{d\theta_c}{a}} + \theta_c$$

This operating time ensures maximum amount of heat transfer

## Cycle time for minimum cost per unit of heat transfer

Now if the objective function is cycle time for minimum cost per unit of heat transfer, then the total variable costs for H h of operating and cleaning time is given by,

$$\text{Total variable cost for } H \text{ h of operating and cleaning time} = C_{T, \text{for } H \text{ h}} = (C_c + S_b \theta_b) \frac{H}{\theta_b + \theta_c}$$

where,  $C_c$  = cost for one cleaning

$S_b$  = direct labour cost per hour during operation

$\frac{H}{\theta_b + \theta_c}$  = number of cycles during H h

Replacing  $H$  from the expression,  $Q_H = \frac{H}{\theta_b + \theta_c} \times \frac{2A\Delta T}{a} [\sqrt{a\theta_b + d} - \sqrt{d}]$  in the expression for  $C_T$  we get,

$$C_{T, \text{for } H \text{ h}} = \frac{aQ_H(C_c + S_b\theta_b)}{2A\Delta T[\sqrt{a\theta_b + d} - \sqrt{d}]}$$

The optimum value of  $\theta_b$  for minimum total cost may be obtained by plotting  $C_T$  versus  $\theta_b$  or by setting  $\frac{dC_T}{d\theta_b} = 0$  and solving for  $\theta_b$ ,

$$\theta_b \text{ per cycle for minimum total cost} = \frac{C_c}{S_b} + \frac{2}{aS_b} \sqrt{adC_cS_b}$$

# Optimum flowrate of cooling water in condenser

In a condenser with water as the cooling medium, the cooling water may be circulated at a high rate with a small change in cooling water temperature or at a low rate with a large change in cooling water temperature

The temperature of the water affects the temperature difference driving force for heat transfer

Use of an increased amount of water will cause a decrease in necessary amount of heat transfer area and a decrease in the original investment and fixed charges

On the other hand, the cost of water will increase if more water is used

Therefore, an economic analysis has to be done between high water rate – low surface area and low water rate – high surface area

Such an analysis shows that an optimum flowrate of cooling water occurs at the point of minimum total cost for cooling water and equipment fixed charges

$q$  (Btu/h) = heat removed from condenser vapour

$t'$  ( $^{\circ}F$ ) = condensation temperature of vapour (constant)

$t_1$  ( $^{\circ}F$ ) = inlet temperature of cooling water

$t_2$  ( $^{\circ}F$ ) = temperature of cooling water leaving the condenser

$W$  (lb/h) = flow rate of cooling water

$C_p$  (Btu/lb °F) = heat capacity of cooling water

$U$  (Btu/h ft<sup>2</sup> °F) = constant overall heat transfer coefficient determined at optimum conditions

$A$  (ft<sup>2</sup>) = area of heat transfer

$t_{lm}$  (°F) = log mean temperature difference driving force over condenser

$t_y$  (h/year) = hours the condenser is operated per year

$w$  (\$/lb) = cooling water cost, which is proportional to cooling water supplied

$c_n$  (\$/ft<sup>2</sup>) = installed cost of heat exchanger per square foot of heat transfer area

$F$  = annual fixed charges including maintenance, expressed as a fraction of initial cost for completely installed equipment

$$q = WC_p(t_2 - t_1) = UA\Delta t_m = \frac{UA(t_2 - t_1)}{\ln\left(\frac{t' - t_1}{t' - t_2}\right)} \quad \dots\dots\dots (1)$$

Therefore,  $W = \frac{q}{C_p(t_2 - t_1)} \quad \dots\dots\dots (2)$

Design conditions set values of  $q$  and  $t_1$ ,  $C_p$  is known

Flow rate of cooling water is fixed if temperature of water leaving condenser ( $t_2$ ) is fixed

) Annual cost for cooling water is =  $WH_yC_W$

$$WH_yC_W = \frac{qH_yC_W}{C_P(t_2-t_1)} \dots\dots\dots (3)$$

l) Annual fixed charges for condenser =  $AK_F C_A$

$$\text{Total variable cost (annual)} = C_T = \frac{qH_yC_W}{C_P(t_2-t_1)} + AK_F C_A \dots\dots\dots (4)$$

he value of A is replaced from equation (1)

$$C_T = \frac{qH_yC_W}{C_P(t_2-t_1)} + \frac{qK_F C_A \ln \left[ \frac{(t' - t_1)}{(t' - t_2)} \right]}{U(t_2-t_1)}$$

only variable in the above equation is  $t_2$

o get optimum exit temperature,  $\frac{dC_T}{dt_2} = 0$

he final equation obtained is,

$$\frac{(t' - t_1)}{(t' - t_{2,opt})} - 1 + \ln \left[ \frac{(t' - t_{2,opt})}{(t' - t_1)} \right] = \frac{UH_yC_W}{C_P K_F C_A}$$

$t_{2,opt}$  can be obtained from trial and error method or it can be found from the graphical method

# Optimum reflux ratio

The design of a distillation column is based on the degree of separation required for a feed supplied at a known composition, flow rate and temperature

The design engineer needs to determine the reflux ratio and then the size of the column to get the required specification

As the reflux ratio is increased, the number of theoretical stages required for a given separation decreases

An increase in the reflux ratio may result in lower fixed charges for the distillation column but larger costs for reboiler heat supply and condenser coolant

The optimum reflux ratio occurs at the point where the sum of fixed charges and operating costs is a minimum.

Usually it falls in the range of 1.1 to 1.3 times minimum reflux ratio

